



Atty. Docket No. JP9-1999-0308US1  
(590.047)

Sector & ✓

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Akira Koseki et al.  
Serial No. : 09/828,402 Examiner : Unassigned  
Filed : April 6, 2001 Art Unit : Unassigned  
For : COMPILER

November 12, 2001

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

I hereby certify that this correspondence and any documents referred to as enclosed therewith are being deposited with the United States Postal Service as first class mail, addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on November 12, 2001.

Stanley D. Ference III  
Reg. No. 33,879

November 12, 2001  
Date of Signature

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts dated May 11, 2001, Applicant  
submits herewith a duly executed **Declaration and Power of Attorney for Patent  
Application.**

Please charge **IBM Corporation Deposit Account No. 50-0510** in the amount of  
\$130.00 surcharge for the late filing of a signed Declaration. In the event of non-payment  
or improper payment of a required fee, the Commissioner is authorized to charge or credit

**IBM Corporation Deposit Account No. 50-0510** as required to correct the error.

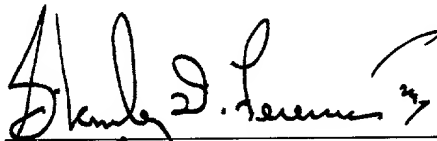
Duplicate copies of this document are enclosed.

The Notice to File Missing Parts also requires the submission of substitute drawings. Applicants are concurrently submitting formal drawings, which should obviate the objection to the drawings submitted at the time the application was filed.

As required, a copy of the Notice to File Missing Parts is attached.

Since all the missing parts have now been supplied, it is submitted that the application is now complete and in form for examination. Accordingly, such examination and prompt allowance are earnestly solicited.

Respectfully submitted,



Stanley D. Ference III  
Registration No. 33,879

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Attorneys for Applicants

Enclosures

The Response for which this extension is requested is filed herewith.

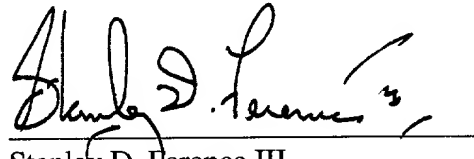
Applicant is other than a small entity and the extension fee required under 37

C.F.R. § 1.17(a)-(d) is calculated below:

	large entity	small entity
( ) one month	\$110	\$55
( ) two months	\$400	\$200
( ) three months	\$920	\$460
( X ) four months	\$1,440	\$720
( ) five months	\$1,960	\$980

Please charge **IBM Corporation Deposit Account No. 50-0510** in the amount of \$1,440.00 to cover fee for a four (4) month extension of time. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or credit **Deposit Account No. 50-0510** as required to correct the error. Duplicate copies of this document are enclosed.

Respectfully submitted,

  
Stanley D. Ference III  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/828,402	04/06/2001	Akira Koseki	JP919990308USUS1

CONFIRMATION NO. 5149

## FORMALITIES LETTER



\*OC00000006069297\*

REFERENCE & ASSOCIATES  
129 Oakhurst Road  
Pittsburgh, PA 15215

Date Mailed: 05/11/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawings have a line quality that is too light to be reproduced (weight of all lines and letters must be heavy enough to permit adequate reproduction) or text that is illegible (reference characters, sheet numbers, and view numbers must be plain and legible) see 37 CFR 1.84(l) and (p)(1));

*A copy of this notice **MUST** be returned with the reply.*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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